

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

LANCE WILLIAMS,

Plaintiff,

v.

R. PARRELLS,

Defendant.

No. 2:22-cv-0514 KJN P

ORDER AND FINDINGS AND  
RECOMMENDATIONS

I. Introduction

Plaintiff is a state prisoner, proceeding without counsel. Plaintiff seeks leave to proceed in forma pauperis. However, as plaintiff sustained at least three “strikes” prior to filing this action, and the undersigned finds plaintiff fails to demonstrate he was in imminent danger of serious physical injury at the time he filed this action on March 21, 2022, it is recommended that plaintiff be required to pay the \$402.00 filing fee before he may proceed with this action.

II. Governing Standards

The Prison Litigation Reform Act of 1995 (“PLRA”) permits a federal court to authorize the commencement and prosecution of any suit without prepayment of fees by a person who submits an affidavit demonstrating that the person is unable to pay such fees. However,

[i]n no event shall a prisoner bring a civil action or appeal a judgment in a civil action or proceeding under this section if the prisoner has, on 3 or more prior occasions, while incarcerated or detained in any

1 facility, brought an action or appeal in a court of the United States  
2 that was dismissed on the grounds that it is frivolous, malicious, or  
3 fails to state a claim upon which relief may be granted, unless the  
prisoner is under imminent danger of serious physical injury.

4 28 U.S.C. § 1915(g).

5 Such “three strikes rule” was part of “a variety of reforms designed to filter out the bad  
6 claims [filed by prisoners] and facilitate consideration of the good.” Coleman v. Tollefson, 135  
7 S. Ct. 1759, 1762 (2015) (quoting Jones v. Bock, 549 U.S. 199, 204 (2007)). If a prisoner has  
8 three strikes under § 1915(g), the prisoner is barred from proceeding in forma pauperis unless he  
9 meets the exception for imminent danger of serious physical injury. See Andrews v. Cervantes,  
10 493 F.3d 1047, 1052 (9th Cir. 2007). To meet this exception, the complaint of a three-strikes  
11 prisoner must plausibly allege that the prisoner was faced with imminent danger of serious  
12 physical injury at the time his complaint was filed. See Williams v. Paramo, 775 F.3d 1182, 1189  
13 (9th Cir. 2015); Andrews, 493 F.3d at 1055.

14 Imminent danger of serious physical injury must be a real, present threat, not merely  
15 speculative or hypothetical. Andrews, 493 F.3d at 1057 n.11. To meet his burden under  
16 § 1915(g), an inmate must provide “specific fact allegations of ongoing serious physical injury, or  
17 a pattern of misconduct evidencing the likelihood of imminent serious physical injury.” Martin v.  
18 Shelton, 319 F.3d 1048, 1050 (8th Cir. 2003). “Vague and utterly conclusory assertions” of harm  
19 are insufficient. White v. Colorado, 157 F.3d 1226, 1231-32 (10th Cir. 1998). That is, the  
20 “imminent danger” exception is available “for genuine emergencies,” where “time is pressing”  
21 and “a threat . . . is real and proximate.” Lewis v. Sullivan, 279 F.3d 526, 531 (7th Cir. 2002).

22 Where the prisoner fails to make a “plausible allegation” that he faced imminent danger of  
23 serious physical injury at the time he filed this action, he is not entitled to the privilege of  
24 proceeding in forma pauperis. See Andrews, 493 F.3d at 1055; Rodriguez v. Cook, 169 F.3d  
25 1176, 1180 (9th Cir. 1999) (finding that 28 U.S.C. § 1915(g) “does not prevent all prisoners from  
26 accessing the courts; it only precludes prisoners with a history of abusing the legal system from  
27 continuing to abuse it while enjoying IFP status”); see also Franklin v. Murphy, 745 F.2d 1221,  
28 1231 (9th Cir.1984) (being granted in forma pauperis status is a “privilege and not a right.”).

1 III. Discussion

2 Review of court records reveals that on at least three occasions lawsuits filed by the  
3 plaintiff have been dismissed on the grounds that they were frivolous or malicious or failed to  
4 state a claim upon which relief may be granted. Indeed, on August 3, 2021, another magistrate  
5 judge found plaintiff had sustained more than three strikes:<sup>1</sup>

6 1. Williams v. Aparicio, Case No. 2:14-cv-08640-PA-KK (C.D. Cal.) (dismissed  
7 February 5, 2015, as time-barred);<sup>2</sup>

8 2. Williams v. Kerkfoot, Case No. 2:14-cv-07583-GW-KK (C.D. Cal.) (dismissed May  
9 15, 2015, as time-barred);

10 3. Williams v. Young, Case No. 2:14-cv-08037-PA-KK (C.D. Cal.) (dismissed May 19,  
11 2015, as time-barred).

12 4. Williams v. Paramo, Case No. 18-55319 (9th Cir.) (dismissed September 19, 2018, as  
13 frivolous);

14 5. Williams v. RJD Medical Staff Building, Case No. 18-55709 (9th Cir.) (dismissed  
15 September 19, 2018, as frivolous); and

16 6. Williams v. Navarro, Case No. 20-56163 (9th Cir.) (dismissed January 13, 2021, as  
17 frivolous).

18 Subsequently, another magistrate judge noted an additional filing by plaintiff that also  
19 constitutes a strike under § 1915(g):<sup>3</sup> Williams v. Young, Case No. 15-55967, (9th Cir.) (ECF  
20 Nos. 8 & 10 (application to proceed in forma pauperis denied because the appeal was frivolous,  
21 and the case was later dismissed because prisoner failed to pay the filing fee).<sup>4</sup>

22 <sup>1</sup> Williams v. Corcoran State Prison, et al., Case No. 1:21-cv-1009 NONE BAM (E.D. Cal. Aug.  
23 3, 2021).

24 <sup>2</sup> See Belanus v. Clark, 796 F.3d 1021, 1024-25, 1027 (9th Cir. 2015) (affirming district court's  
25 decision to count as a strike a Rule 12(b)(6) dismissal on the ground that the "thrust" of the  
26 complaint was barred by the statute of limitations, such that a dismissal for failure to state a claim  
could be sustained on such basis.)

27 <sup>3</sup> Williams v. Vera, Case No. 1:22-cv-0096 EPG (E.D. Cal.) (ECF No. 9 at 2-3).

28 <sup>4</sup> If an application to proceed in forma pauperis is denied by an appellate court because the

1 The undersigned takes judicial notice of all of the above cases. Each of the above cases  
 2 were filed prior to the instant action. The above cases demonstrate plaintiff sustained three  
 3 strikes under § 1915(g).

4 Imminent Danger

5 Therefore, plaintiff is precluded from proceeding in forma pauperis in this action unless  
 6 plaintiff is “under imminent danger of serious physical injury.” 28 U.S.C. § 1915(g). Plaintiff  
 7 has not alleged any facts which suggest that he was under imminent danger of serious physical  
 8 injury at the time he filed this action. Rather, plaintiff raises claims that on February 10, 2022,  
 9 defendant Parrells attempted to close plaintiff’s cell door on to plaintiff, causing him to fall and  
 10 sustain injuries. Plaintiff claims that on or about February 17, 2022, defendant Parrells  
 11 confronted plaintiff in the dining hall about plaintiff filing a grievance against defendant, telling  
 12 plaintiff, “we’ll see if you make it home or even the court. Yeah, I know all about you.” (ECF  
 13 No. 1 at 4.) While plaintiff claims that he was “placed in imminent danger of serious physical  
 14 injury and in a state of fear,” such vague threats are insufficient to show a plausible threat of  
 15 imminent physical danger at the time plaintiff filed the instant action over a month later.<sup>5</sup> Thus,  
 16 plaintiff must submit the appropriate filing fee in order to proceed with this action.<sup>6</sup>

17 \_\_\_\_\_  
 18 appeal is frivolous, the case counts as a “strike” even if the appeal is not dismissed until later  
 19 when the plaintiff fails to pay the filing fee. Richey v. Dahne, 807 F.3d 1202, 1208 (9th Cir.  
 20 2015).

21 <sup>5</sup> General allegations of harassment, embarrassment, and defamation are not cognizable under  
 22 section 1983. Rutledge v. Arizona Bd. of Regents, 660 F.2d 1345, 1353 (9th Cir. 1981), aff’d sub  
 23 nom. Kush v. Rutledge, 460 U.S. 719 (1983); see also Franklin v. Oregon, 662 F.2d 1337, 1344  
 24 (9th Cir.1982) (allegations of harassment with regards to medical problems not cognizable);  
 25 Ellingburg v. Lucas, 518 F.2d 1196, 1197 (8th Cir. 1975) (Arkansas state prisoner does not have  
 cause of action under § 1983 for being called obscene name by prison employee); Batton v. North  
Carolina, 501 F.Supp. 1173, 1180 (E.D. N.C. 1980) (mere verbal abuse by prison officials does  
 not state claim under § 1983). Nor are allegations of mere threats cognizable. See Gaut v. Sunn,  
 810 F.2d 923, 925 (9th Cir. 1987) (mere threat does not constitute constitutional wrong, nor do  
 allegations that naked threat was for purpose of denying access to courts compel contrary result).

26 <sup>6</sup> In addition, it is clear from the face of the complaint that plaintiff failed to exhaust his  
 27 administrative remedies. The PLRA requires all prisoners to exhaust such administrative  
 28 remedies as are available. 42 U.S.C. § 1997e(a). Proper exhaustion of available remedies is  
 mandatory, Booth v. Churner, 532 U.S. 731, 741 (2001), and “[p]roper exhaustion demands  
 compliance with an agency’s deadlines and other critical procedural rules[.]” Woodford v. Ngo,

IV. Conclusion

The court finds that plaintiff may not proceed in forma pauperis in this action.

Accordingly, IT IS HEREBY ORDERED that the Clerk of the Court is directed to assign a district judge to this case.


Further, IT IS RECOMMENDED that:

1. Plaintiff's application to proceed in forma pauperis be denied; and

2. Plaintiff be ordered to pay the court's \$402.00 filing fee in full within thirty days from the date of any district court order adopting the instant findings and recommendations.

These findings and recommendations are submitted to the United States District Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days after being served with these findings and recommendations, plaintiff may file written objections with the court and serve a copy on all parties. Such a document should be captioned "Objections to Magistrate Judge's Findings and Recommendations." Plaintiff is advised that failure to file objections within the specified time may waive the right to appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

Dated: March 25, 2022

  
KENDALL J. NEWMAN  
UNITED STATES MAGISTRATE JUDGE

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548 U.S. 81, 90 (2006). The Supreme Court has also cautioned against reading futility or other exceptions into the statutory exhaustion requirement. See Booth, 532 U.S. at 741 n.6; Ross v. Blake, 578 U.S. 632, 639-40 (2016).